

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

TASSO KOUMOULIS, CHRISTOS HATZIS, DOMINIC
MILITO, AND PETER DAFNIOTIS, :
Plaintiffs, : **10-cv-0887 (KAM) (ALC)**
-against- : **RULE 7.1 DISCLOSURE**
INDEPENDENT FINANCIAL MARKETING GROUP, :
INC., LPL FINANCIAL CORPORATION, AND :
ASTORIA FEDERAL SAVINGS AND LOAN :
ASSOCIATION, :
Defendants. :
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Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendant, LPL Financial Corporation, certifies as follows: LPL Financial is a wholly owned subsidiary of LPL Holdings, Inc., a Massachusetts holding corporation, which is a wholly owned subsidiary of LPL Investment Holdings, Inc., a Delaware holding corporation.

Dated: May 24, 2010

/s/ Eve I. Klein
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